

Dep & Ref

PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| | |
|--|--------------|
| Application Number | 10/605,653 |
| Filing Date | 10/15/2003 |
| First Named Inventor | Chuan-Pei Yu |
| Art Unit | 2875 |
| Examiner Name | Han, Jason |
| Total Number of Pages in This Submission | 4 |
| Attorney Docket Number | AUOP0022USA |

ENCLOSURES (Check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. A copy of the deposit account statement |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | North America Intellectual Property Corporation | | |
| Signature | | | |
| Printed name | Winston Hsu | | |
| Date | AUG 04 2006 | Reg. No. | 41,526 |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

| | | | |
|-----------------------|---------------|------|-------------|
| Signature | | | |
| Typed or printed name | Stephanie Lai | Date | AUG 04 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT MAINTENANCE
DIVISION

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PATENT

US PATENT & TRADEMARK
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Chuan-Pei Yu et al.

App. No.: 10/605,653 Filing Date: 10/15/2003

Examiner: HAN, JASON Art Unit: 2875

Docket No.: AUOP0022USA

10 Title: BACKLIGHT MODULE

To: Mail Stop 16
Commissioner for Patents
P.O. Box 1450

15 Alexandria VA 22313-1450

Subject: Request for a refund of incorrect deduction

Dear Sir,

20

The applicant filed an Information Disclosure Statement (IDS) on 07/20/2006. This IDS was filed after the mailing date of the first office action but before final action or Notice of Allowance accompanied by a statement specified in 37 CFR 1.97 (e). According to 37 CFR 1.97 (c), this IDS should be considered without the payment of \$180.00 set forth in 37 CFR 1.17(p). But the applicant recently discovered that the USPTO incorrectly charged \$180.00 for this submission of IDS from the Deposit Account No. 50-3105 on 07/25/2006 (Attachment 1).

25

The applicant hereby requests to refund the \$180.00 to the Deposit Account No. 50-3105 pursuant to 37 CFR 1.26. Your

quick response in refunding the charge will be greatly appreciated.

Sincerely yours,

5

Winston Hsu

Date: AUG 04 2006

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

10 Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan
15 time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

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|-------|------|----------|--------------|------|--------------|-------------|
| 07/20 | 1268 | 11458690 | NAUP0811USA | 1311 | \$200.00 | \$29,417.00 |
| 07/21 | 29 | 10708060 | REAP0052USA4 | 1501 | \$1,400.00 | \$28,017.00 |
| 07/21 | 30 | 10708060 | REAP0052USA4 | 1504 | \$300.00 | \$27,717.00 |
| 07/21 | 31 | 11163281 | REAP0154USA | 1501 | \$1,400.00 | \$26,317.00 |
| 07/21 | 32 | 11163281 | REAP0154USA | 1504 | \$300.00 | \$26,017.00 |
| 07/21 | 1255 | 11458998 | LOTP0091USA | 1011 | \$300.00 | \$25,717.00 |
| 07/21 | 1256 | 11458998 | LOTP0091USA | 1111 | \$500.00 | \$25,217.00 |
| 07/21 | 1257 | 11458998 | LOTP0091USA | 1311 | \$200.00 | \$25,017.00 |
| 07/21 | 1291 | 11459006 | MTKP0388USA | 1011 | \$300.00 | \$24,717.00 |
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| 07/21 | 1311 | 11459010 | MSTP0041USA | 1011 | \$300.00 | \$23,517.00 |
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| 07/21 | 1384 | 11459024 | NVAP0009USA | 1111 | \$500.00 | \$21,867.00 |
| 07/21 | 1385 | 11459024 | NVAP0009USA | 1311 | \$200.00 | \$21,667.00 |
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| 07/21 | 1402 | 11459027 | AMCP0001USA | 4011 | \$75.00 | \$21,392.00 |
| 07/21 | 1403 | 11459027 | AMCP0001USA | 2111 | \$250.00 | \$21,142.00 |
| 07/21 | 1404 | 11459027 | AMCP0001USA | 2311 | \$100.00 | \$21,042.00 |
| 07/24 | 28 | PAYMENT | | 9203 | -\$22,065.00 | \$43,107.00 |
| 07/24 | 34 | 6989807 | ADDP0004USA | 8021 | \$40.00 | \$43,067.00 |
| 07/24 | 279 | 11459364 | REAP0172USA | 8021 | \$40.00 | \$43,027.00 |
| 07/24 | 280 | 11459024 | NVAP0009USA | 8021 | \$40.00 | \$42,987.00 |
| 07/24 | 282 | 11459027 | AMCP0001USA | 8021 | \$40.00 | \$42,947.00 |
| 07/24 | 283 | 11459010 | MSTP0041USA | 8021 | \$40.00 | \$42,907.00 |
| 07/24 | 284 | 11459006 | MTKP0388USA | 8021 | \$40.00 | \$42,867.00 |
| 07/24 | 1413 | 11459364 | REAP0172USA | 1011 | \$300.00 | \$42,567.00 |
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| 07/24 | 1415 | 11459364 | REAP0172USA | 1311 | \$200.00 | \$41,867.00 |
| 07/24 | 1416 | 11459364 | REAP0172USA | 1201 | \$200.00 | \$41,667.00 |
| 07/25 | 2 | 10605653 | AUOP0022USA | 1806 | \$180.00 | \$41,487.00 |
| 07/25 | 4 | 10906069 | MTKP0052USA4 | 1814 | \$130.00 | \$41,357.00 |
| 07/25 | 42 | 10904210 | NAUP0595USA | 1801 | \$790.00 | \$40,567.00 |
| 07/25 | 43 | 10904210 | NAUP0595USA | 1202 | \$350.00 | \$40,217.00 |
| 07/25 | 44 | 10905794 | TMIP0007USA | 1251 | \$120.00 | \$40,097.00 |
| 07/25 | 78 | 6335802 | | 1559 | \$450.00 | \$39,647.00 |
| 07/25 | 312 | 11459635 | NAUP0804USA | 8021 | \$40.00 | \$39,607.00 |
| 07/25 | 315 | 11459643 | VIAP0189USA | 8021 | \$40.00 | \$39,567.00 |
| 07/25 | 317 | 11459644 | ASEP0055USA | 8021 | \$40.00 | \$39,527.00 |
| 07/25 | 319 | 11458998 | LOTP0091USA | 8021 | \$40.00 | \$39,487.00 |
| 07/25 | 320 | 11459645 | TMIP0039USA | 8021 | \$40.00 | \$39,447.00 |
| 07/25 | 1493 | 11459635 | NAUP0804USA | 1011 | \$300.00 | \$39,147.00 |
| 07/25 | 1494 | 11459635 | NAUP0804USA | 1111 | \$500.00 | \$38,647.00 |
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| 07/25 | 1542 | 11459643 | VIAP0189USA | 1011 | \$300.00 | \$38,147.00 |
| 07/25 | 1543 | 11459643 | VIAP0189USA | 1111 | \$500.00 | \$37,647.00 |
| 07/25 | 1544 | 11459643 | VIAP0189USA | 1311 | \$200.00 | \$37,447.00 |

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